

Numerical Optimization of Fractional Order PID Controller

Hassan N.A. Ismail¹, I.K. Youssef² and Tamer M. Rageh^{3,*}

^{1,3} Department of Basic Science Engineering, Faculty of Engineering in Benha, Benha University, Benha 13512, Egypt

² Department of Mathematics, Faculty of Science, Ain Shams University, Cairo 11566, Egypt

ABSTRACT: The fractional order PID controller is the generalization of classical PID controller, many Researchers interest in tuning FOPID controller here we use the Pareto Optimum technique to estimate the controller parameter and compare our result with the classical model and with other Researchers result .we used both mathematica package and matlab for tuning and simulation.

KEYWORDS: Proportional Integral Derivative (PID) - fractional order PID - Optimization - Pareto Optimum

I. INTRODUCTION

The fractional order controllers are being the aim of many engineering and scientists in the recent few decay [1-5]. The fractional order Proportional-Integral-Derivative (FOPID) was first introduced by Podlubny [2] and it consider as the generalization case of classical PID controllers. The Proportional-Integral-Derivative (PID) controllers are still the most widely controller in engineering and industrial for process control applications. If the mathematical model of the plant can be derived, then it is possible to apply various design techniques for determining parameters of the controller that will meet the transient and steady state specifications of the closed loop system.

In the recent few decay due to the development of fractional calculus(FC) the modeling of engineering system can be appear in fractional order systems(FOS) that require much more than classical PID controller to meet both transient and steady state specifications.

There are many methods used to design FOPID, Deepyaman at. al.[4] using Particle Swarm Optimization Technique. Synthesis method which a modified root locus method for fractional-order systems and fractional order controllers was introduced in[8].A state-space design method based on feedback poles placement can be viewed in [10].

The aim of design PID controller is achieve high performance including low percentage overshoot and small settling time. The performance of PID controllers can be further improved by appropriate settings of fractional-I and fractional-D actions.

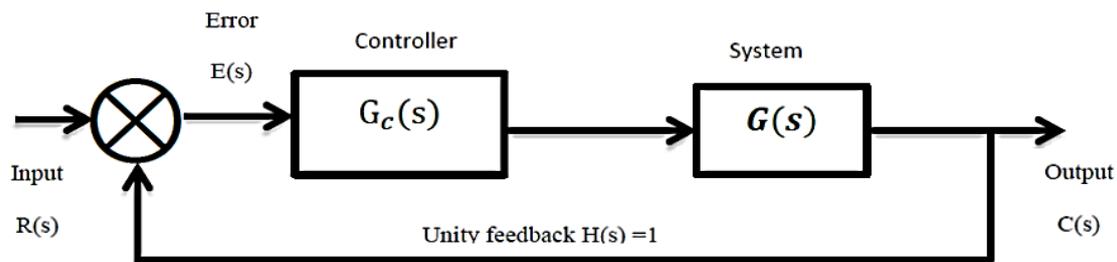


Figure 1 Closed Loop System

Consider the simple unity feedback control system shown in fig. 1 where $R(s)$ is an input, $G(s)$ is the transfer function of controlled system, $G_c(s)$ is the transfer of the controller, $E(s)$ is an error. $U(s)$ is the controller's output, and $C(s)$ is the system's output.

II. FRACTIONAL ORDER CALCULUS [11-15]

Fractional calculus (FC) is a generalization of integration and differentiation to non-integer orders. FC provides a more powerful tool for modeling the real live phenomena, and this is actually a natural result of the fact that in FC the integer orders are just special cases.

Definition: Let $\alpha \in \mathbb{R}^+$. The operator J_a^α defined on $L1[a, b]$ by

$$J_a^\alpha f(t) = \frac{1}{\Gamma(\alpha)} \int_a^t (t - \tau)^{\alpha-1} f(\tau) d\tau \tag{1}$$

for $a \leq t \leq b$, is called the Riemann-Liouville fractional integral operator of order α

Definition: Let $\alpha \in \mathbb{R}^+$ and $n = \lceil \alpha \rceil$. The operator D_a^α defined as

$$D_a^\alpha f(t) = D^n J_a^{n-\alpha} f(t) \tag{2}$$

$$D_a^\alpha f(t) = \begin{cases} D^n \frac{1}{\Gamma(n-\alpha)} \int_a^t (t-\tau)^{n-\alpha-1} f(\tau) d\tau & n-1 < \alpha < n \\ \frac{d^n}{dt^n} f(t) & \alpha = n \end{cases}$$

for $a \leq t \leq b$, is called the Riemann-Liouville differential operator of order α .

Definition: Let $\alpha \in \mathbb{R}^+$ and $n = \lceil \alpha \rceil$. The operator D_{a+}^α defined by

$${}^C D_{a+}^\alpha f(t) = D_{a+}^\alpha = J_a^{n-\alpha} D^n f(t) = \frac{1}{\Gamma(n-\alpha)} \int_a^t (t-\tau)^{n-\alpha-1} f^{(n)}(\tau) d\tau \tag{3}$$

$$n-1 < \alpha < n$$

for $a \leq t \leq b$, is called the Caputo differential operator of order α

Definition: Let $\alpha \in \mathbb{R}^+$. The operator ${}^{GL} D_a^\alpha$ defined by

$${}^{GL} D_a^\alpha = \lim_{h \rightarrow 0} \frac{(\Delta_h^\alpha f)(x)}{h^\alpha} = \lim_{\substack{h \rightarrow 0 \\ nh = x-a}} \frac{1}{h^\alpha} \sum_{r=0}^n (-1)^r \binom{\alpha}{r} f(t-rh) \quad \alpha > 0 \tag{4}$$

for $a \leq t \leq b$, is called the Grünwald-Letnikov fractional derivative of order α

From the Riemann-Liouville fractional integral, applying the Laplace transform of the convolution integral, Equations (1) and (2) will be:

$$\mathcal{L}\{J^\alpha f(t)\} = \frac{1}{\Gamma(\alpha)} \mathcal{L}\{t^{\alpha-1}\} \mathcal{L}\{f(t)\} = \frac{1}{\Gamma(\alpha)} \frac{\Gamma(\alpha)}{s^\alpha} F(s) = \frac{F(s)}{s^\alpha} \tag{5}$$

$\alpha > 0$

$$\begin{aligned} \mathcal{L}\{D_t^\beta f(t)\} &= \frac{1}{\Gamma(n-\beta)} \mathcal{L}\{t^{n-\beta-1}\} \mathcal{L}\{f^{(n)}(t)\} = \frac{1}{\Gamma(n-\beta)} \left(\frac{\Gamma(n-\beta)}{s^{n-\beta}} \right) \left(s^n F(s) - \sum_{k=0}^{n-1} s^{n-k-1} f^{(k)}(0) \right) \\ &= s^\beta F(s) - \sum_{k=0}^{n-1} s^{\beta-k-1} f^{(k)}(0) \end{aligned} \tag{6}$$

$$n-1 < \beta < n$$

III. FRACTIONAL ORDER CONTROLLER [16-19]

Before we introduced the Fractional Order Controller we introduce the fractional-order transfer function (FOTF) given by the following expression:

$$G_n(s) = \frac{1}{a_n s^{\beta_n} + a_{n-1} s^{\beta_{n-1}} + \dots + a_1 s^{\beta_1} + a_0 s^{\beta_0}} \tag{7}$$

where $\beta_k, (k = 0, 1, \dots, n)$ is an arbitrary real number, $\beta_n > \beta_{n-1} > \dots > \beta_1 > \beta_0 > 0$, $a_k, (k = 0, 1, \dots, n)$ is an arbitrary constant.

In the time domain, the FOTF corresponds to the n-term fractional –order differential equation (FDE)

$$a_n D^{\beta_n} y(t) + a_{n-1} D^{\beta_{n-1}} y(t) + \dots + a_1 D^{\beta_1} y(t) + a_0 D^{\beta_0} y(t) = u(t) \tag{8}$$

where $D^\gamma \equiv {}_0D_t^\gamma$ is caputo’s fractional derivative of order γ with respect to the variable t and with the starting point at $t = 0$:

The transfer function for conventional PID controller is

$$G_{PID}(s) = \frac{u(s)}{e(s)} = K_c \left(1 + \frac{1}{\tau_i s} + \tau_d s \right) \tag{9}$$

Transfer function for fractional order PID controller is

$$G_{FOPID}(s) = \frac{u(s)}{e(s)} = K_c \left(1 + \frac{1}{\tau_i s^\lambda} + \tau_d s^\mu \right) \tag{10}$$

FO integro-differential equation

$$u(t) = k_p e(t) + k_i D_t^{-\lambda} e(t) + k_d D_t^\mu e(t) \tag{11}$$

Where $k_p, k_i, k_d \in \mathbb{R}$ and $\lambda, \mu \in \mathbb{R}^+$ are the parameters of controller to be tuned, and $D_t^{-\lambda}$ and D_t^μ are the fractional integral and differential operator respectively, often defined by the Riemann-Liouville definition as the following:

$$D_t^{-\lambda} f(t) = \frac{1}{\Gamma(\lambda)} \int_0^t \frac{f(\tau)}{(t-\tau)^{1-\lambda}} d\tau \tag{12}$$

$$D_t^\mu f(t) = \frac{1}{\Gamma(m-\mu)} \left(\frac{d}{dt} \right)^m \int_0^t \frac{f(\tau)}{(t-\tau)^{1+\mu-m}} d\tau \tag{13}$$

Table 1 Controller Parameters

K_p	Coefficient for the proportional term
K_d	Coefficient for the derivative term
K_i	Coefficient for the integral term
μ	Fractional order for the derivative term
λ	Fractional order for the integral term

The fractional system is a system which could be better described by fractional order mathematical models, and its transfer function is at arbitrary real order instead of just integer order.

Podlubny (1999) introduced [1] as a generalization of the classical PID controller, namely the $PI^\lambda D^\mu$ controller or FOPID controller with an integrator of order λ and a differentiator of order μ . He also proves the better response of FOPID controller compared by PID controller special in case of FOS.

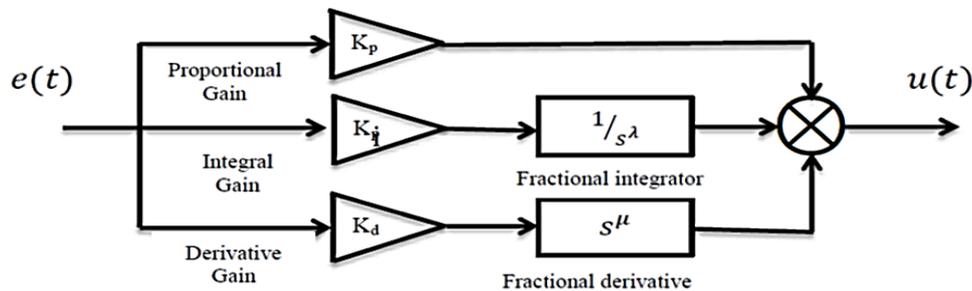


Figure 2 : Fractional Order PID Controller

The orders of integration and differentiation (λ, μ) must be positive real numbers, Taking $\lambda = 1$ and $\mu = 1$, we will have an integer order PID controller. Fig. 2 The classical PID controller has three parameters (K_p, T_i, T_d) to be tuned, while the fractional order PID controller has five ($K_p, T_i, T_d, \lambda, \mu$).

The interest of this kind of controller is justified by a better flexibility, since it exhibits fractional powers (λ and μ) of the integral and derivative parts, respectively. Thus, five parameters can be tuned in this structure (λ, μ, K_p, K_i and K_d), that is, two more parameters than in the case of a conventional PID controller (λ

= 1 and $\mu = 1$). The fractional orders λ and μ can be used to fulfill additional specifications of design or other interesting requirements for the controlled system.

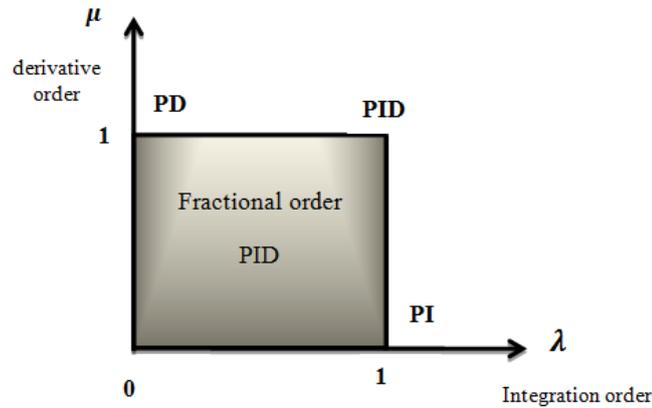


Figure 3 types of controllers

From fig. 3 at the corners of square if $\lambda = \mu = 1$, then it is classical PID controller. If $\lambda = 0$ and $\mu = 1$, then it is classical PD controller. If $\lambda = 1$ and $\mu = 0$, then it is classical PI controller. If $\lambda = \mu = 0$, then it is classical P controller. But any point inside the square donates a fractional order PID controller.

IV. OPTIMIZATION OF CONTROLLER PARAMETERS

The aims of most interested in FOPID controller is to estimate the controller parameters so many methods are done for example self - tuning and auto-tuning which introduced by Monje CA at. al [20], rule base method [21-24] for which FOPID controller based on Ziegler Nichols-type rules, Analytical methods [25-27]. finally numerical treatment for optimization fractional order controllers has been introduced by various authors, based on the genetic algorithm[28-30], based on particle swarm optimization (PSO) technique[4 and 31-33] has also been used for estimating the controllers parameters, A multi-objective optimization method was designed by I. Pan and S. Das [34]

As in the classical root locus method, based on our engineering requirements of the maximum peak overshoot M_p and rise time t_{rise} (or requirements of stability and damping levels) we find out the damping ratio ζ and the undamped natural frequency ω_0 . Using the values of ζ and ω_0 we then find out the positions of the dominant poles of the closed loop system,

$$P_{1,2} = -\xi\omega_0 \pm j\omega_0\sqrt{1 - \xi^2} \tag{14}$$

Let the closed loop transfer function of the system is:

$$\frac{G(s)}{1 + G(s)H(s)} \tag{15}$$

Here $G(s) = G_c(s).G_p(s)$ where $G_c(s)$ is the transfer function of the controller to be designed. $G_c(s)$ is of the form

$$G_c(s) = Kp + Ti s^{-\lambda} + Td s^\mu \tag{16}$$

$G_p(s)$ is the transfer function of the process we want to control.

If the required closed loop dominant poles are located at $s_{1,2} = p_{1,2} = -x + jy, -x - jy$, then at $s = p_1 = -x + jy$, we must have

$$1 + G(p_1).H(p_1) = 0 \tag{17}$$

we get:

$$1 + (Kp + T_i s^{-\lambda} + T_d s^\mu).G_p(p_1).H(p_1) = 0. \tag{18}$$

Assuming $H(s) = 1$, and $G_p(s)$ being known, (18) can be arranged as:

$$1 + [Kp + T_i(-x + jy)^{-\lambda} + T_d(-x + jy)^\mu]G_p(-x + jy) = 0. \tag{19}$$

In this complex equation (19) we have five unknowns, namely $\{K_p, T_i, T_d, \lambda, \mu\}$. There are an infinite number of solution sets for $s = p_1 = -x + jy$. So the equation cannot be unambiguously solved.

Pareto optimization helps us to find the optimal solution set to the complex equation.

Let:

R=real part of the complex expression,

I=imaginary part of the complex expression,

P=phase $(= \tan^{-1}(I/R))$.

We define $f = |R| + |I| + |P|$ and minimize 'f' using the Pareto optimization. Our goal is to find out the optimum solution set $\{K_p, T_i, T_d, \lambda, \mu\}$ for which $f = 0$

The solution space is five-dimensional, the five dimensions being K_p, T_i, T_d, λ and μ . The personal and global bests are also five-dimensional. The limits on the position vectors of the particles (i.e. the controller parameters) are set by us as follows. As a practical assumption, we allow K_p to vary between 1 and 1000, T_i and T_d between 1 and 500, λ and μ between 0 and 2.

V. NUMERICAL EXAMPLES

Consider the system of fractional order transfer function which needs to be controlled as follows:

$$G_p(s) = \frac{1}{a_2 s^\beta + a_1 s^\alpha + a_0} \tag{20}$$

where $a_2 = 0.8, a_1 = 0.5, a_0 = 1, \beta = 2.2$ and $\alpha = 0.9$

and consider the FOPID transfer function as:

$$G_c(s) = K_p + T_i s^{-\lambda} + T_d s^\mu \tag{21}$$

Using the Mathematica package and applying the Pareto optimal algorithm with some constraints on the controller parameters (k_p to vary between 1 and 1000, k_i and k_d between 1 and 500, λ and μ between 0 and 2) we estimate the parameter values as $(k_p = 215.20, k_i = 2.04, k_d = 73.42, \lambda = 1.18, \mu = 1.37)$

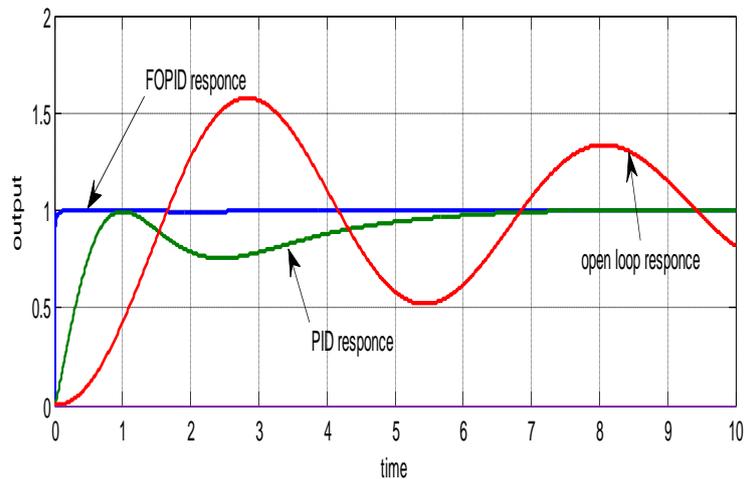


Figure 4: Comparison between PID and FOPID

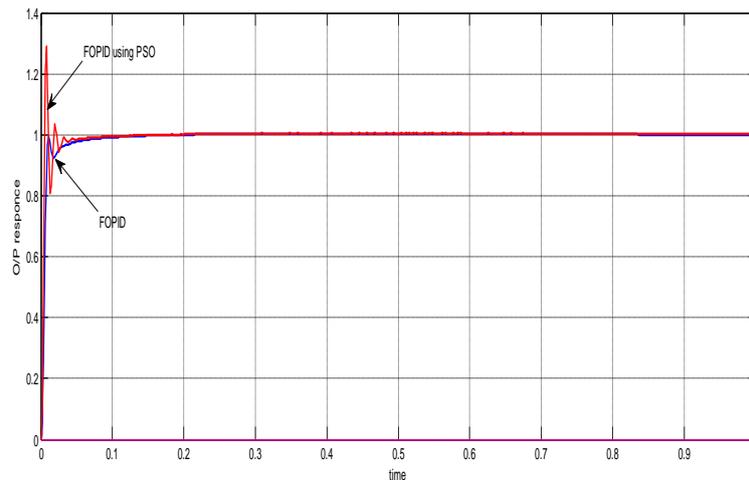


Figure 5: Comparison between Our FOPID and POS Method

Fig (4) show comparison between the output response of open loop transfer function (red line) and the classical PID controller (green line) and the FOPID controller using pareto optimization (blue line) and it is clearly how worst the open loop system with long time response and large peak over shot, but using PID controller all system requirements improved but still need more improvement, after using pareto optimal to estimate the controller parameter which make the system response be better with less peak over shot (we can claim that no peak over shot) and very small time response.

Fig (5) show comparison between the output response of closed loop transfer function and fractional order PID by using Particle Swarm Optimization Technique [4] (red line) and our method by using pareto optimization (blue line)

VI. RESULTS AND CONCLUSION

Her we used pareto method for numerical optimization of the FOPID which give an estimation of the controller parameter to meet the engineering specification needs, our result compared by classical PID and POS method

REFERENCES

- [1]. Podlubny I., Fractional-order systems and $PI\lambda D\mu$ -controllers, IEEE Transactions on Automatic Control. 44(1) (1999) 208-214.
- [2]. Podlubny I., Fractional-order systems and fractional-order controllers, UEF-03-94, Slovak Academy of Sciences, Kosice, 1994.
- [3]. Dorčák L., Valsa J., Gonzalez E, Terpák J, Petráš I, Pivka L, Analogue Realization of Fractional-Order Dynamical Systems, *Entropy*. 15 (2013) 4199-4214.
- [4]. Maiti D., Biswas S., Konar A., Design of a Fractional Order PID Controller Using Particle Swarm Optimization Technique, 2nd National Conference on Recent Trends in Information Systems (ReTIS-08)
- [5]. Shah P., Agashe S., Review of fractional PID controller, *Mechatronics*. 38 (2016) 29-41.
- [6]. Petras I., The fractional order controllers: Methods for their synthesis and application, *Journal of Electrical Engineering*, 50(9-10)(1999) 284-288.
- [7]. Podlubny, I., 1999. Fractional differential equations: an introduction to fractional derivatives, fractional differential equations, to methods of their solution and some of their applications. Academic Press, San Diego.
- [8]. Miller, K. S., and Ross, B., An introduction to the fractional calculus and fractional differential equations. John Wiley and Sons, New York. 1993
- [9]. Oldham, K.B.; Spanier J. The Fractional Calculus; Academic Press: New York, NY, USA, 1974.
- [10]. Caponetto R., Dongola G., Fortuna L., Petráš I., Fractional Order Systems: Modeling and Control Applications, World Scientific, Singapore.2010
- [11]. Herrmann R., Fractional Calculus an Introduction For Physicists, World Scientific, Singapore.2011
- [12]. Xue D., Zhao C., Chen Y., Fractional order PID control of a dc-motor with elastic shaft a case study, Proceedings of American control conference, 7 (2006)3182–3187
- [13]. Monje C.A., Vinagre B.M., Feliu V., Chen Y.Q., Tuning and auto-tuning of fractional order controllers for industry applications, *Control Engineering Practice*. 16 (2008) 798–812.
- [14]. Valerio D., da Costa J.S., A review of tuning methods for fractional PIDs, 4th IFAC workshop on fractional differentiation and its applications, FDA, 10; 2010 .
- [15]. Sabatier J., Agrawal O.P., Tenreiro Machado J.A., Advances in fractional calculus, (2007).
- [16]. Barbosa R.S., Tenreiro Machado J.T., Jesus I.S., Effect of fractional orders in the velocity control of a servo system, *Computers & Mathematics with Applications*. 59(5)(2010) 1679–1686 .
- [17]. Valerio D., da Costa J.S., Tuning of fractional PID controllers with ziegler–nichols- type rules, *Signal Processing*.86(10)(2006) 2771–2784 .
- [18]. Zhao C., Xue D., Chen Y.Q., A fractional order PID tuning algorithm for a class of fractional order plants, IEEE International Conference Mechatronics and Automation. 1(2005) 216-221.
- [19]. Bouafoura M.K., Braiek N.B., $PI^{\lambda}D^{\mu}$ controller design for integer and fractional plants using piecewise orthogonal functions, *Communications in Nonlinear Science and Numerical Simulation*.15(5)(2010)1267–1278 .

- [20]. Das S., Saha S., Das S., Gupta A., On the selection of tuning methodology of FOPID controllers for the control of higher order processes, *ISA Transactions*. 50(2011) 376–388 .
- [21]. Chang L-Y, Chen H-C, Tuning of fractional PID controllers using adaptive genetic algorithm for active magnetic bearing system, *WSEAS transactions on systems*. 8(1)(2009) 158–167.
- [22]. Cao J-Y, Liang J., Cao B-G, Optimization of fractional order PID controllers based on genetic algorithms, *Machine learning and cybernetics*. 9(2005) 5686–9 .
- [23]. Das S., Pan I., Das S., Gupta A . Improved model reduction and tuning of fractional- order $PI^{\lambda}D^{\mu}$ controllers for analytical rule extraction with genetic programming. *ISA Transactions* 51(2)(2012)237–261 .
- [24]. Cao J.Y., Cao B.G., Design of fractional order controllers based on particle swarm optimization, 1ST IEEE conference on Industrial electronics and applications. (2006) 1–6.
- [25]. Zamani M., Karimi-Ghartemani M., Sadati N., Parniani M., Design of a fractional order PID controller for an AVR using particle swarm optimization. *Control Engineering Practice*. 17 (2009) 1380–1387.
- [26]. Karimi-Ghartemani M., Zamani M., Sadati N., Parniani M., An optimal fractional order controller for an AVR system using particle swarm optimization algorithm, *Power engineering*. (2007) 244–249 .
- [27]. Pan I., Das S., Chaotic multi-objective optimization based design of fractional order $PI^{\lambda}D^{\mu}$ controller in AVR system, *International Journal of Electrical Power & Energy Systems*.43(1)(2012) 393–407 .